Virtual Flux Droop Method – A New Control Strategy of Inverters in Microgrids

Jiefeng Hu, Jianguo Zhu, Senior Member, IEEE, David G. Dorrell, Senior Member, IEEE, and Josep M. Guerrero, Senior Member, IEEE

Abstract—The parallel operation of inverters in microgrids is mainly based on the droop method. Conventional voltage droop method consists of adjusting the output voltage frequency and amplitude to achieve autonomous power sharing without control wire interconnections. Nevertheless, the conventional voltage droop method shows several drawbacks, such as complicated inner multiloop feedback control, and most importantly, frequency and voltage deviations. This paper proposes a new control strategy in microgrid applications by drooping the virtual flux instead of the inverter output voltage. First, the relationship between the inverter virtual flux and the active and reactive powers is mathematically obtained. This is used to develop a new flux droop method. In addition, a small-signal model is developed in order to design the main control parameters and study the system dynamics and stability. Furthermore, a direct flux control (DFC) algorithm is employed to regulate the virtual flux according to the droop controller, which avoids the use of PI controllers and PWM modulators. Both the simulation and experimental results show that the proposed flux droop strategy can achieve active and reactive power sharing with much lower frequency deviation than the conventional voltage droop method, thus highlighting the potential use in microgrid applications.

Index Terms—Microgrids, flux droop, active and reactive power sharing, power quality

I. INTRODUCTION

A microgrid is a cluster of microgenerators connected to the local low voltage network through power electronic converters. Compared to a single distributed generation (DG) unit, microgrids offer many technical advantages in terms of control flexibility and the ability to incorporate renewable energy sources [1]-[3]. However, power quality and system stability have become serious issues due to the intermittent nature of the renewable energy sources and the fluctuating load profile. In addition, as the penetration and capacities of DG units increase, the power converters are required to operate more efficiently and effectively to maintain high power quality and dynamic stability. To fulfill these requirements, advanced control techniques are essential.

Several inverter control strategies are used in microgrids to achieve correct power sharing between the DG units. The majority of the strategies are derived from uninterruptible power supply (UPS) control schemes, such as circular chain control (3C) [4][5], average load sharing (ALS) [6][7], centralized [8], master-slave (MS) [9]-[11], and droop control [12]-[16]. Droop control is one of the most popular techniques in microgrid applications. This concept stems from power system theory, in which a synchronous generator connected to the utility grid drops its frequency when the power demand increases. The conventional droop method was first introduced into microgrids in [12], where active power sharing between the inverters is achieved by adjusting the frequency and reactive power sharing is achieved by adjusting the amplitude of the inverter output voltage. The droop method achieves relatively high reliability and flexibility since it uses only local power measurements.

However, the conventional droop method has several drawbacks, such as complicated inner multiloop feedback control, and most importantly, frequency and voltage deviations. To produce the specified voltage from the droop controller, a multiloop feedback control scheme is generally employed to control the inverters [13]-[17]. In the multiloop feedback control, proportional-integral (PI) regulators are used in the outer voltage loop and inner current loop. In addition, modulation such as sinusoidal pulse-width-modulation (SPWM) is required to generate the final gate drive signals. As a result, this method requires complex coordinate transformation, and much tuning effort is needed to ensure the system stability, which makes it difficult to implement in practice. Furthermore, it is well known that good power sharing is achieved when using the conventional droop method, but this does lead to degradation of the voltage regulation because the frequency and amplitude of the inverter output voltage are controlled directly. The voltage deviation could be unacceptable in applications where power quality is the main concern.

In recent years much research attention has been paid to the
II. PROPOSED VIRTUAL FLUX DROOP METHOD

Fig. 1 shows two DG units connected to a common ac bus through their inverters. The mathematical equations of the system equivalent circuit can be described by

\[
V = RI + L \frac{dI}{dt} + E \tag{1}
\]

\[
S = P + jQ = I^*E \tag{2}
\]

where \( V, E, \) and \( I \) are the inverter voltage vector, the common ac bus voltage vector, and the line current vector, respectively. \( Z \) is impedance of the transmission line where \( Z = R + j\omega L \). \( P \) and \( Q \) are the active and reactive powers flowing from the DG to the common ac bus and \(*\) denotes the complex conjugate. In a similar manner to the flux definition in an electrical machine, the virtual flux vectors at nodes \( A \) and \( B \) can be defined as

\[
\psi_v = \frac{1}{j} \int V d\tau \tag{3}
\]

\[
\psi_e = \frac{1}{j} \int E d\tau \tag{4}
\]

From (3) and (4), the inverter virtual flux vector at node \( A \) and the common ac bus virtual flux vector at node \( B \) can be rewritten:

\[
\varphi_v = \varphi_v - \frac{\pi}{2}, |\psi_v| = \frac{|V|}{\omega} \tag{5}
\]

\[
\varphi_e = \varphi_e - \frac{\pi}{2}, |\psi_e| = \frac{|E|}{\omega} \tag{6}
\]

where \( \varphi_v \) and \( \varphi_e \) are the phase angles of \( V \) and \( E \); and \( \varphi_v \) and \( \varphi_e \) are the phase angles of \( \psi_v \) and \( \psi_e \), respectively. \( \omega \) is the angular frequency of the voltages. In most practical cases, the line impedance is highly inductive, so the line resistance \( R \) can be neglected. Combining (1), (3) and (4) yields

\[
I = \frac{1}{L} (\psi_v - \psi_e) \tag{7}
\]

Substituting (7) into (2), the volt-amps, or apparent power, can be obtained:

\[
S = \frac{1}{L} (\psi_v - \psi_e)^* E \tag{8}
\]

Subsequently, substituting (5) and (6) into (8) gives

\[
S = \frac{1}{L} \left( |\psi_v| e^{j(\varphi_v - \frac{\pi}{2})} - |\psi_e| e^{j(\varphi_e - \frac{\pi}{2})} \right)^* \omega |\psi_e| e^{j\varphi_e} \tag{9}
\]
Fig. 2. Active and reactive power sharing with proposed flux droop method. (a) $P - \delta$ characteristic, (b) $Q - |\psi_V|$ characteristic.

so that

$$S = \frac{\omega}{L} \left[ |\psi_E| |\psi_V| e^{j(\frac{\pi}{2} + \phi_\psi - \phi_E)} - |\psi_E|^2 e^{j(\frac{\pi}{2} + \phi_\psi - \phi_E)} \right]$$

Therefore, the apparent power flowing from the DG unit to the common ac bus can be derived from (10) giving

$$S = \frac{\omega}{L} \left[ |\psi_E| |\psi_V| \sin(\phi_\psi - \phi_E) + j |\psi_E| |\psi_V| \cos(\phi_\psi - \phi_E) - |\psi_E|^2 \right]$$

The active power and reactive power can be obtained by decomposing (11) into real and imaginary components which leads to

$$P = \frac{\omega}{L} |\psi_V| |\psi_V| \sin \delta$$

$$Q = \frac{\omega}{L} |\psi_V||\psi_V| \cos \delta - |\psi_E|^2$$

where $\delta = \phi_V - \phi_E = \phi_V - \phi_E$. Since this angular difference is typically small it can be assumed that $\sin(\delta) \approx \delta$ and $\cos(\delta) \approx 1$ so that

$$P = \frac{\omega}{L} |\psi_V| |\psi_V| \delta$$

$$Q = \frac{\omega}{L} |\psi_V||\psi_V| \delta$$

Therefore, the active power is proportional to the flux phase angle difference $\delta$ and the reactive power is proportional to the flux magnitude difference ($|\psi_V| - |\psi_E|$). Based on the analysis above, a new droop method by drooping the inverter virtual flux is proposed here. This gives

$$\delta = \delta_n - m(P_n - P)$$

$$|\psi_V| = |\psi_V|^n - n(Q_n - Q)$$

where $\delta_n$ is the nominal phase angle difference between $\psi_V$ and $\psi_E$, and $|\psi_V|^n$ is the nominal amplitude of the inverter flux. $P_n$ and $Q_n$ are the power rating of the DG unit; and $m$ and $n$ are the slopes of the $P - \delta$ and the $Q - |\psi_V|$ characteristics. The proposed flux droop method is illustrated in Fig. 2. The active power and reactive power are split between the DGs by drooping their own flux angle difference $\delta$ and flux amplitude $|\psi_V|$ when the load is changed.

III. SMALL SIGNAL ANALYSIS

A small signal analysis is now proposed in order to investigate the stability and transient response of the system. This allows the adjustment the control parameters. The small-signal dynamics of the $P - \delta$ droop controller can be obtained by linearizing (12) and (16). This gives

$$\Delta \delta(s) = \Delta \delta_n(s) - m\Delta P_n(s) - \Delta P(s)$$

$$\Delta P(s) = G_p \cdot \Delta \delta(s)$$

where $G_p = \frac{\omega}{L} |\psi_E| |\psi_V| \cos \delta$. Modeling the low-pass filters as a first-order approximation for the instantaneous active power calculation, the $P - \delta$ droop controller equivalent circuit resulting from the small signal model is illustrated in Fig. 3(a), where $\Delta$ denotes the perturbation values, and $\omega_c$ is the cut-off angular frequency of the low-pass filters. By deriving the
closed-loop transfer function using $\Delta P$ as the output and $\Delta \delta_n$ and $\Delta P_n$ as the inputs, using the principle of superposition, the following expression is obtained:

$$\Delta P(s) = \frac{G_p(s + \omega_c)}{s + \omega_c - \omega_m G_p} \Delta \delta_n(s) - \frac{m G_p(s + \omega_c)}{s + \omega_c - \omega_m G_p} \Delta P_n(s)$$  \hspace{1cm} (20)

The characteristic equation can be derived from (20) where

$$s + \omega_c - \omega_m G_p = 0$$  \hspace{1cm} (21)

Subsequently, the eigenvalue of (21) can be expressed as

$$\lambda_p = \omega_c (m G_p - 1)$$  \hspace{1cm} (22)

Similarly, the small-signal dynamics of the $Q - |\psi|_v$ droop controller can be obtained by linearizing (13) and (17):

$$\Delta |\psi_v|(s) = \Delta |\psi_v|_n(s) - n(\Delta Q_v(s) - \Delta Q(s))$$  \hspace{1cm} (23)

$$\Delta Q(s) = G_y \cdot \Delta |\psi_v|(s)$$  \hspace{1cm} (24)

where $G_y = \frac{\omega}{L} |\psi_v| \cos \delta$. Using a similar procedure, the $Q - |\psi|_v$ droop controller block diagram of the small signal model is illustrated in Fig. 3(b). Again, by deriving the closed loop transfer function using $\Delta Q$ as the output and $\Delta |\psi|_v$ and $\Delta Q_v$ as the input, and using the superposition principle, then

$$\Delta Q(s) = \frac{G_y(s + \omega_c)}{s + \omega_c - \omega_n G_y} \Delta |\psi_v|_n(s) - \frac{n G_y(s + \omega_c)}{s + \omega_c - \omega_n G_y} \Delta Q_v(s)$$  \hspace{1cm} (25)

and characteristic equation can be derived:

$$s + \omega_c - \omega_n G_y = 0$$  \hspace{1cm} (26)

Hence, the eigenvalue of (26) is

$$\lambda_q = \omega_c (n G_y - 1)$$  \hspace{1cm} (27)

It can be seen from (22) and (27) that the eigenvalue placements of system varies with the droop slopes $m$ and $n$, illustrating the stability limits which can be used to adjust the transient response of the system.

### IV. DIRECT FLUX CONTROL OF INVERTERS

After obtaining the flux reference from the droop controller, the inverter is controlled to produce this flux in order to achieve the correct power sharing between the DG units. In the conventional voltage droop method, the frequency and the amplitude of the inverter output voltage are regulated for power sharing so that a multiloop feedback approach is used to control the inverters, as illustrated in Fig. 4(a). For the proposed virtual flux droop method, since the output of the droop controller is the flux reference rather than the voltage reference, a direct flux control (DFC) strategy can be employed to generate this specific flux, as depicted in Fig. 4(b).

For ease of illustration of the DFC approach, Fig. 5 shows three-phase two-level inverter voltage vectors and the spatial relationship of $|\psi_v|$ and $\delta$. Using DFC, the two variables directly controlled by the inverter are $|\psi|_v$ and $\delta$, i.e., the magnitude of vector $|\psi|_v$ is controlled and it also has a specified relative position to the vector $\psi_E$. Similarly to direct torque control (DTC) [31] [32] and direct power control (DPC) [33] [34], the DFC strategy is based on the fact that the effects of each inverter voltage vector on $|\psi|_v$ and $\delta$ are different. This is summarized in Table I [12], where $S_k$ is the sector number in the $\alpha - \beta$ plane given by $\varphi_{\psi_E}$, being $d_{r} = 1$ if $|\psi|_v ref > |\psi|_v$, $d_{e} = 0$ if $|\psi|_v ref < |\psi|_v$; and $d_{r} = 1$ if $\delta_{ref} > \delta$, $d_{e} = 0$ if $\delta_{ref} < \delta$.

The DFC approach can be implemented in the following...
way. The signals $d_6$ and $d_A$ are first obtained from two hysterisis comparators using the errors between the estimated and reference values of $|\psi_V|$ and $\delta$. The voltage vector is then selected from Table I according to $d_6$, $d_A$ and the inverter flux position $\phi_E$. For instance, assuming that at the $k^{th}$ sampling instant, $\phi_{E_{ref}}$ is within sector S1, $|\psi_{V_{ref}}| > |\psi_V|$ and $\delta_{ref} > \delta$, so that $d_6 = 0$ and $d_A = 1$. Therefore $V_2(110)$ will be selected to increase both $|\psi_{V_{ref}}|$ and $\delta$. After that $V_2(110)$ will be applied during the $k^{th}$ and $(k+1)^{th}$ sampling instants. In a similar manner DTC and DPC, this voltage vector can be generated simply by turning on the upper switches and turning off the lower switches of the inverter legs of phases A and B, while turning off the upper switch and turning on the lower switch of phase C. In this way, $\psi_V$ is controlled around an approximate circular path within specified hysterisis bands through the inverter switching DFC features excellent dynamic performance without coordinate transformations or PWM modulators.

V. MICROGRID CONTROL

Fig. 6 shows a block diagram of the proposed control strategy for microgrid connection, it includes the virtual flux droop method presented in Section II and the DFC scheme presented in Section IV. In the virtual flux droop controller, the active and reactive powers $P$ and $Q$ supplied by the DGs to the load are calculated from the line current $I$ and load-side voltage $E$, and then delivered to the flux droop function to obtain the flux reference. In the DFC strategy, the flux is firstly estimated from the current inverter switching states [35], this estimated flux together with the flux reference from the droop controller are then sent to the DFC controller to control the inverter.

Notice that there is no load-side ac voltage available to reference. The inverters themselves produce the ac system voltage. By using the proposed control strategy, the load-side ac voltage $E$ is controlled indirectly because $\psi_E$ is already regulated due to the direct control of $\psi_V$.

![Image of microgrid control strategy](image)

**TABLE II: SYSTEM PARAMETERS**

<table>
<thead>
<tr>
<th>Item</th>
<th>Symbol</th>
<th>Simulation</th>
<th>Experiment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Line inductance</td>
<td>$L_1, L_2$</td>
<td>8 mH</td>
<td>5 mH</td>
</tr>
<tr>
<td>Line resistance</td>
<td>$R_1, R_2$</td>
<td>0.05Ω</td>
<td>0.48 Ω</td>
</tr>
<tr>
<td>Filter Capacitance</td>
<td>$C_1, C_2$</td>
<td>150 µF</td>
<td>82 µF</td>
</tr>
<tr>
<td>Tie-line inductance</td>
<td>$L_s$</td>
<td>6 mH</td>
<td>5.5 mH</td>
</tr>
<tr>
<td>Tie-line resistance</td>
<td>$R_t$</td>
<td>0.4Ω</td>
<td>0.36 Ω</td>
</tr>
<tr>
<td>Nominal Voltage</td>
<td>$E_n$</td>
<td>3.6 kVrms</td>
<td>120 Vrms</td>
</tr>
<tr>
<td>Nominal frequency</td>
<td>$f_n$</td>
<td>60 Hz</td>
<td>60 Hz</td>
</tr>
<tr>
<td>DGs output voltage</td>
<td>$V_{as}, V_{bk}$</td>
<td>10 kV</td>
<td>250 V</td>
</tr>
<tr>
<td>Cut-off frequency</td>
<td>$\omega_c$</td>
<td>10 rad/s</td>
<td>10 rad/s</td>
</tr>
<tr>
<td>Nominal flux amplitude</td>
<td>$</td>
<td>\psi_{V_{ref}}</td>
<td>$</td>
</tr>
<tr>
<td>Nominal angle difference</td>
<td>$\delta_n$</td>
<td>0.2 rads</td>
<td>0.2 rads</td>
</tr>
<tr>
<td>Nominal active power</td>
<td>$P_{n_1}$</td>
<td>1.5 MW</td>
<td>180 W</td>
</tr>
<tr>
<td>Nominal reactive power</td>
<td>$Q_{n_1}$</td>
<td>0.8 MVAr</td>
<td>100 VAr</td>
</tr>
<tr>
<td>Nominal active power</td>
<td>$P_{n_2}$</td>
<td>1.2 MW</td>
<td>150 W</td>
</tr>
<tr>
<td>Nominal reactive power</td>
<td>$Q_{n_2}$</td>
<td>0.6 MVAr</td>
<td>70 VAr</td>
</tr>
<tr>
<td>Slope of $P - \delta$ droop</td>
<td>$m_1$</td>
<td>-2.67×10^{-7} rad/W</td>
<td>-2.2×10^{-4} rad/W</td>
</tr>
<tr>
<td>Slope of $Q -</td>
<td>\psi_{V}</td>
<td>$ droop</td>
<td>$n_1$</td>
</tr>
<tr>
<td>Slope of $P - \delta$ droop</td>
<td>$m_2$</td>
<td>-3.33×10^{-4} rad/W</td>
<td>-3.1×10^{-4} rad/W</td>
</tr>
<tr>
<td>Slope of $Q -</td>
<td>\psi_{V}</td>
<td>$ droop</td>
<td>$n_2$</td>
</tr>
</tbody>
</table>

**i) Amplitude Regulation:** the amplitude of the load-side voltage $E$ can be controlled by setting the nominal inverter flux amplitude $|\psi_{V_{ref}}|$ equal to $\sqrt{2E_n}/(\sqrt{3} \cdot 2\pi f_n)$, where $E_n$ is the nominal line-to-line voltage of the microgrid.

**ii) Frequency Regulation:** the referenced $\phi_{E_{ref}}$ is taken from a referenced virtual three-phase ac voltage with $f_n = 60$ Hz. It can be calculated from $\phi_{E_{ref}} = \pi/2 - \pi/2$ using (6). In this way, $\psi_E$ can be controlled with a specific frequency $f_n$ because $\delta$ is tightly regulated, thus the frequency of the load-side voltage $E$ can be controlled.

An in-depth analysis of the proposed flux droop method (16) in Section II can now be performed. It can be seen that, in contrast to the conventional voltage droop method, the active power sharing of the microgrid is achieved by drooping the angle difference $\delta$ rather than drooping the frequency. Since the reference $\phi_{E_{ref}}$ is taken from a virtual reference three-phase ac voltage vector with a constant frequency $f_n$, both the vector $\psi_V$ and vector $\psi_E$ will rotating with a constant...
angular frequency because $\delta$ is tightly controlled. In other words, the angular frequency $\omega_1$ will not be changed no matter how $\delta$ has changed. Consequently, active power sharing can be achieved without frequency deviation, even though the initial flux phase of each inverter is unknown. This is a significant improvement in microgrid power control.

VI. SIMULATION RESULTS

Fig. 7 shows the microgrid structure under study, which is the same as that in [12]. The performance of the proposed flux droop control strategy was first tested in simulation using MATLAB/Simulink. The system parameters are listed in Table II. The system sampling frequency is 20 kHz and the average switching frequency of each inverter is about 3.2 kHz.

Fig. 8 shows the powers sharing between two inverters for a load step change at 0.2 s. It is found that the two DGs can take up the load change immediately, and the system reaches a new steady-state point within only 10 ms. DG #1 delivers more active power because it has a steeper slope, as explained in Section II. This result demonstrates the effectiveness of the novel flux droop method for autonomous power sharing in microgrid applications. Fig. 9 shows the performance of the load-side voltage (i.e., the voltage across the dc link capacitors). It can be seen that the voltage established is very stable and sinusoidal before, during and after the load changes. This benefits the local microgrid customers.

VII. EXPERIMENTAL RESULTS

The proposed control strategy is further validated experimentally on a scaled laboratory prototype, as shown in Fig. 10. A TMS320F28335 floating-point DSP was used for the control. This includes six enhanced PWM modules (each ePWM module contains two reversed PWM channels) and sixteen analog-to-digital (AD) channels, which are sufficient for the gate drives and measurement in this test. The system parameters are listed in Table II.

A. Transient Response of Power Sharing

Autonomous power sharing is the most important feature in the smart microgrid systems. In other words, the changes in load should be taken up by the distributed generation (DG) units automatically [12], [18]. Here, the effectiveness of the proposed virtual flux droop method for autonomous power sharing is tested. Fig. 11 presents the dynamic response of the power sharing when a step-up change of the load occurs. $P_1$ and $Q_1$ are the active and reactive power outputs of DG1, while $P_2$ and $Q_2$ are active and reactive power outputs of DG2. It can be seen that the experimental results are in good agreement with the simulation results. To meet the new load demand, DG1 and DG2 pick up the load change immediately with excellent dynamic response and steady-state performance.

In order to further prove the effectiveness of the proposed control strategy, the load demand was reduced to the initial value. As shown in Fig. 12, the outputs of the DG1 and DG2 are reduced accordingly and the system reaches the new steady-state very quickly and smoothly without any overshoot.

B. Steady State Performance

To analyze the voltage quality, the line-to-line voltage across
the capacitor $C_1$ of DG1 (i.e., the voltage of load #1) is plotted out and shown in Fig. 13(a). It can be observed that the voltage established for load #1 is very stable and sinusoidal with only 1.83% of the total harmonic distortion (THD). Fig. 13(b) is the spectrum of the voltage waveforms. It shows broad harmonic spectra due to the varied switching frequencies of DFC scheme, as depicted in Section IV. The voltage of load #2 is similar to that of load #1, which is not plotted out here.

C. Voltage Quality Comparison

In order to show that the proposed virtual flux droop control strategy can achieve better power quality for autonomous power sharing in microgrids, Table III compares the voltage frequency and amplitude deviations by using the conventional voltage droop method and the proposed flux droop method, respectively. For this purpose, a load variation on a step change will be demanded, and the voltage responding to that load change in order to achieve autonomous power sharing will be compared. In the first case, it can be seen that there is around 2.5 V of voltage amplitude deviation in order to compensate 50 Var reactive power unbalance when the load is changed, for both the conventional $V - Q$ droop and the proposed $|\psi_V| - Q$ droop. In the second case, in order to compensate 50 W active power unbalance when the load is changed, there is 0.40 Hz deviation of the frequency using conventional voltage droop method, while there is only 0.09 Hz deviation of the frequency using proposed flux droop method, showing much better voltage quality in terms of frequency stability. This is because in the proposed flux droop method, active power is regulated by drooping the phase angle of the virtual flux rather than the voltage frequency, as explained in Section V.

VIII. Conclusion

In this paper, a new flux droop control strategy for the parallel operation of inverters has been proposed for microgrid applications. This is different to the conventional voltage droop method. In the new flux droop controller, the power sharing is achieved by drooping the flux amplitude and controlling the phase angle. In addition, a direct flux control algorithm is introduced to control the inverters in order to produce a specified flux from droop controller. Therefore, multi-feedback loops and PWM modulators are not needed in the control structure. The new droop control strategy is simple and
effective, the effectiveness is validated by using both simulation and experiments, highlighting the potential use in microgrid applications.

REFERENCES


TABLE III

COMPARISON OF VOLTAGE DEVIATIONS WITH ΔP = 50 W AND ΔQ = 50 VAR

<table>
<thead>
<tr>
<th>Methods</th>
<th>Frequency Deviations (Hz)</th>
<th>Amplitude Deviations (V)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Conventional Voltage droop</td>
<td>0.40</td>
<td>2.61</td>
</tr>
<tr>
<td>Proposed Virtual Flux droop</td>
<td>0.09</td>
<td>2.43</td>
</tr>
</tbody>
</table>

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